

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.  
606-74-PCT-PA

In Re Application Of: Lars Neupart

SEP 24 2004

10/501302

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/501,302	July 12, 2004	To be assigned	22145		

Title: **INFORMATION SECURITY AWARENESS SYSTEM**

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
606-74-PCT-PA

In Re Application: Lars Neupart

SEP 24 2004  
PATENT & TRADEMARK OFFICE

Application No. 10/501,302	Filing Date July 12, 2004	Examiner To be assigned	Customer No. 22145	Group Art Unit	Confirmation No.
-------------------------------	------------------------------	----------------------------	-----------------------	----------------	------------------

**INFORMATION SECURITY AWARENESS SYSTEM**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 11-1159 as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on 9/22/2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

\_\_\_\_\_  
Signature of Person Mailing Correspondence

Carrie Anne Cheung

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

\_\_\_\_\_  
Signature

Howard J. Klein (Reg. No.: 28,727)

Klein, O'Neill & Singh, LLP (Customer No.: 22145)

2 Park Plaza, Suite 510

Irvine, California 92614

Tel: (949) 955-1920

Fax: (949) 955-1921

Email: hjklein@koslaw.com

Dated: September 22, 2004

CC:

# INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

SEP. 24 2004

Docket Number (Optional)

606-74-PCT-PA

Application Number

10/501,302

Applicant(s)

Lars Neupart

Filing Date

July 12, 2004

Group Art Unit

To be assigned

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US 2001/0018746 A1	08-30-2001	Lin			
		US 2001/0023486 A1	09-20-2001	Kayashima et al.			
		US 2002/0188861 A1	12-12-2002	Townsend			
		US 2003/0065942 A1	04-03-2003	Lineman et al.			

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		WO 99/67931	12-29-1999	PCT				✓
		EP 0 999 489 A2	05-10-2000	Europe				✓
		EP 1 160 643 A2	12-05-2001	Europe				✓
		EP 1 160 645 A2	12-05-2001	Europe				✓

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.